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Attn: Examiner D. H. Vu Patent Examining Corps

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FROM:

Michael D. Schumann

OUR REF:

12364.0028USU1

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Total pages, including cover letter:

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Title of Document Transmitted:

Communication Regarding Form 1449,

Form 1449

Applicant:

Hui, et al.

Serial No.:

10/056,226

Filed:

January 23, 2002

Group Art Unit:

2821

Our Ref. No.:

12364.0028USU1

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Name: Michael D. Schumann

Reg. No.: 30,422

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Peggy Kerkhove

April 14, 2004

Date

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APR 1 5 2004

S/N 10/056,226

OFFICIAL TRADEMARK OFFICE

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK

Applicant:

Hui, et al.

Examiner:

D. H. Vu

Serial No.:

10/056,226

Group Art Unit:

2821

Filed:

January 23, 2002

Docket No.:

12364.0028USU1

Title:

NOVEL CIRCUIT DESIGNS AND CONTROL TECHNIQUES FOR HIGH

FREQUENCY ELECTRONIC BALLASTS FOR HIGH INTENSITY

DISCHARGE LAMPS

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Tradernark Office on April 14, 2004.

By: Name: Peggy Kerkhove

## **COMMUNICATION REGARDING FORM 1449**

BOX ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pertaining to the above-referenced patent application, Applicants respectfully assert that on February 5, 2004, an Information Disclosure Statement was filed with the United States Patent and Trademark Office. However, the Form 1449 has not been returned to the undersigned as being considered by the Examiner. Pursuant to the provisions of M.P.E.P. 609, it is requested that the attached Form 1449, marked as being considered and initialed by the Examiner, be returned to the undersigned with an official communication.

Respectfully submitted,

MERCHANT & GOULD P.C.

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Dated: April 14, 2004

Michael D. Schumann

Reg. No.: 30,422

MDS/pjk

23552

PATENT TRADEMARK OFFICE

Date Mailed: February 5, 2004

Sheet 1 of 1

FORM 1449*	INFORMATION DISCLOSURE STATEMENT	Docket Number: 12364.28USU1	Application Number: 10/056,226		
	IN AN APPLICATION	Applicant HUI, et al.			
	(Use several sheets if necessary)	Filing Date: January 23, 2002	Group Art Unit: 2821		

		U.S	S. PATENT DOCUMENT	rs			
EXAMINER INITIAL	DOCUMENT NO. DATE		NAME	CLASS	SUBCLASS	FILING IF APPRO	DATE OPRIATE
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6,020,691		February, 2000	Sun Yiyoung, et al.				<u></u>
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		FORE	IGN PATENT DOCUM	ENTS	<u> </u>		
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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23552 PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.